

Self-adjointness of $\operatorname{div} h \operatorname{grad}$ with a sign-changing h

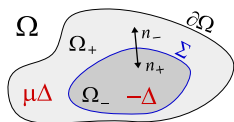
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Laboratoire de mathématiques d'Orsay
Université Paris-Sud

Based on a joint work with Claudio Cacciapuoti and Andrea Posilicano, Como
(to appear in *Journal d'Analyse Mathématique*)

Problem setting

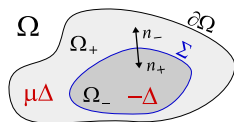
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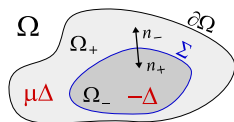
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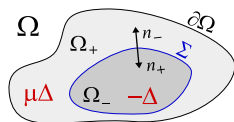
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$$-\operatorname{div} h \operatorname{grad} u = f \iff \int_{\Omega} h \langle \operatorname{grad} u, \operatorname{grad} v \rangle_{\mathbb{C}^4} dx = \int_{\Omega} \bar{f} v dx \quad \forall v \in H_0^1(\Omega).$$

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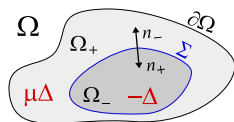
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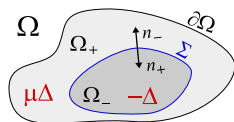
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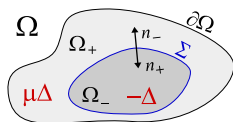
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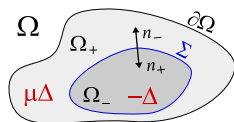
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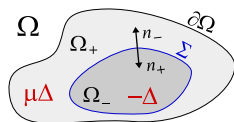
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Properties of L ?

Closedness, self-adjointness, compact resolvent, Fredholmness, ...?

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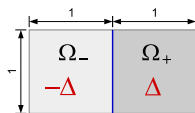
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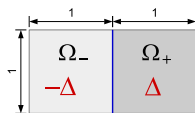


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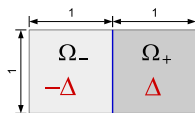
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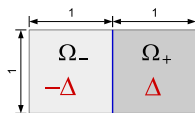
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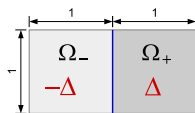
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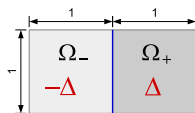
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Kostrykin, Krejčířík, Makarov, Schmitz, ...'??

An abstract approach through indefinite quadratic forms (no exact announcement is available so far)

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The operator L is essentially self-adjoint. If \mathcal{L} is its (unique) self-adjoint extension, then

$$\mathcal{D}(\mathcal{L}) = \{u : u_{\pm} \in H^1(\Omega_{\pm}), \Delta u_{\pm} \in L^2(\Omega_{\pm}), u_{\pm} \text{ satisfy (BC)}\}, \quad (\star)$$

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The geometric hypotheses already appeared in a different context (well-posedness):

Ola'95, Kettunen, Lassas, Ola'14, Vinales'16

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The operator $A := A_{0,0} \equiv S^*|_{\ker \Gamma}$ is self-adjoint, and the "abstract Poisson operator" $G_z : \mathcal{G} \rightarrow \mathcal{H}$ and the Weyl function $M_z : \mathcal{G} \rightarrow \mathcal{G}$ (abstract Dirichlet-to-Neumann map) are defined by

$$G_z \xi = u \iff \begin{cases} (S^* - z)u = 0, \\ \Gamma u = \xi, \end{cases} \quad M_z := \Gamma' G_z, \quad z \notin \sigma(A).$$

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The operator $A := A_{0,0} \equiv S^*|_{\ker \Gamma}$ is self-adjoint, and the "abstract Poisson operator" $G_z : \mathcal{G} \rightarrow \mathcal{H}$ and the Weyl function $M_z : \mathcal{G} \rightarrow \mathcal{G}$ (abstract Dirichlet-to-Neumann map) are defined by

$$G_z \xi = u \iff \begin{cases} (S^* - z)u = 0, \\ \Gamma u = \xi, \end{cases} \quad M_z := \Gamma^{\dagger} G_z, \quad z \notin \sigma(A).$$

Nice properties: e.g. $z \mapsto M_z$ is Herglotz, its spectral measure " \simeq " spectral measure of A ,...

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Resolvent formula

For any $(\Pi, \Theta = \Theta^*)$ one has Krein's resolvent formula

$$(A_{\Pi, \Theta} - z)^{-1} = (A - z)^{-1} + G_z \Pi^* (\Theta - \Pi M_z \Pi^*)^{-1} \Pi G_z^*,$$

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i.e. one has a reduction to a problem on the "boundary" \mathcal{G} .

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Boundary triple and Weyl function

Denote $\Lambda := \sqrt{1 - \Delta_{\partial\Omega}}$, then

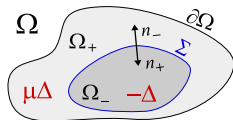
$$\mathcal{G} = H^{\frac{1}{2}}(\partial\Omega), \quad \Gamma u = -\Lambda^{-1}(u|_{\partial\Omega}), \quad \Gamma' u = \frac{\partial(u - P_0(u|_{\partial\Omega}))}{\partial n} \Big|_{\partial\Omega}, \quad M_z = (D_0 - D_z)\Lambda,$$

with P_z and M_z being the Poisson operator and the Dirichlet-to-Neumann map,

$$u = P_z \xi \iff \begin{cases} (-\Delta_{\Omega}^{\max} - z)u = 0, \\ u|_{\partial\Omega} = \xi \end{cases}, \quad D_z \xi := \frac{\partial(P_z \xi)}{\partial n} \Big|_{\partial\Omega}.$$

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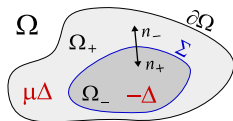


$$L(u_-, u_+) = (-\Delta u_-, \mu \Delta u_+),$$

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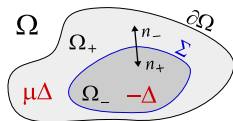
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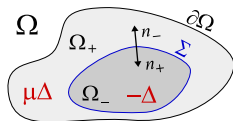
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Start with $S := -\Delta_{\Omega_-}^{\min} \oplus \mu \Delta_{\Omega_+}^{\min}$, then one has a boundary triple $(\mathcal{G}, \Gamma, \Gamma')$ with

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$\mathcal{G} = H^{\frac{1}{2}}(\Sigma) \oplus H^{\frac{1}{2}}(\Sigma) \oplus H^{\frac{1}{2}}(\partial\Omega)$, and $L = A_{\Pi, \Theta}$ with

$$\Pi \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} f_1 + f_2 \\ f_1 + f_2 \\ 0 \end{pmatrix}, \quad \text{ran } \Pi \simeq H^{\frac{1}{2}}(\Sigma), \quad \Theta = \frac{1}{2}(D_0^- - \mu D_0^+) \Lambda, \quad \mathcal{D}(\Theta) = H^{\frac{5}{2}}(\Sigma).$$

Study of Θ

The operator Θ in $H^{\frac{1}{2}}(\Sigma)$ “controls” the properties of $L = A_{\Pi, \Theta}$.

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If each MCC(=maximal connected component) of Σ is strictly convex, then all principal curvatures are either all > 0 or all < 0 on MCC

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If each MCC(=maximal connected component) of Σ is strictly convex, then all principal curvatures are either all > 0 or all < 0 on MCC $\Rightarrow b_0$ does not vanish

$\mu = 1$ and $N \geq 3$

Strictly convex Σ

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- ▶ **(Similarity to interior transmission eigenvalue problems?...)**